

12/18/01

3131 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No.	214250US8
First Inventor or Application Identifier	Kazutaka NARA
Title	ARRAYED WAVEGUIDE GRATING AND METHOD FOR MANUFACTURING THE SAME
Assignee Name:	THE FURUKAWA ELECTRIC CO., LTD.
Assignee Address:	6-1, Marunouchi 2-chome, Chiyoda-ku, Tokyo 100-8322, Japan

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification Total Sheets 28
3. ☒ Formal Drawing(s)  
(35 U.S.C. 113) Total Sheets 9
4. ☐ Oath or Declaration Total Pages 
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))  
(for continuation / divisional w/ box 17 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.53(b).
  - c. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
  - d. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
    - a. ☐ Computer Readable Form (CRF)
    - b. Specification or Sequence Listing on:
      - i. ☐ CD-ROM or CD-R (2 copies); or
      - ii. ☐ Paper
    - c. ☐ Statements verifying identity of above copies

- ### ACCOMPANYING APPLICATION PARTS
7. ☐ Assignment Papers (cover sheet & document(s))
  8. ☒ Application Data Sheet. See 37 CFR 1.76 (2)
  9. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
  10. ☐ English Translation Document (if applicable)
  11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
  12. ☐ Preliminary Amendment
  13. ☒ White Advance Serial No. Postcard
  14. ☒ Certified Copy of Priority Documents (2)  
(if foreign priority is claimed)
  15. ☐ Applicant claims small entity status.  
See 37 CFR 1.27
  16. ☒ Other: Request for Priority

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:  
Prior application information: Examiner: Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

- ☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)  
of application Serial No. Filed on
- ☐ Which was published in English
- ☐ Which was not published in English
- ☐ This application claims priority of provisional application Serial No. Filed

## 19. CORRESPONDENCE ADDRESS



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Name:	Gregory J. Maier	Registration No.:	25,599
Signature:		Date:	12/18, 2001
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Kazutaka NARA, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: ARRAYED WAVEGUIDE GRATING AND METHOD FOR MANUFACTURING THE SAME

## FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	18 - 20 =	0	× \$18 =	\$0.00
INDEPENDENT CLAIMS	8 - 3 =	5	× \$84 =	\$420.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$280 =	\$0.00
<input checked="" type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$130.00
BASIC FEE				\$740.00
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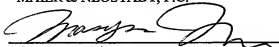
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☒ A check in the amount of **\$1,290.00** to cover the filing fee is enclosed.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.  
A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

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